

**Technical standards under Directive 2004/39/EC (MiFID I), Directive 2014/65/EU (MiFID II) and Regulation (EU) No 600/2014 (MiFIR)**

(last updated: 01/12/2016)

	RTS/ITS	Legal Basis	Adoption by the European Commission	Acts as adopted by the Commission English version	Acts as adopted by the Commission German version	Acts as adopted by the Commission French version	End of EP/Council scrutiny	Published in the Official Journal
RTS1	Transparency requirements for trading venues and investment firms in respect of shares, depositary receipts, exchange-traded funds, certificates and other similar financial instruments	MiFIR Articles 4(6), 7(2), 14(7), 20(3), 22(4) and 23(3)	14/07/2016 C(2016) 4390	<a href="#">RTS 1 in English</a>	<a href="#">RTS 1 in German</a>	<a href="#">RTS 1 in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 1 in English</a>	<a href="#">Annex to RTS 1 in German</a>	<a href="#">Annex to RTS 1 in French</a>		
RTS2	Transparency requirements for trading venues and investment firms in respect of bonds, structured finance products, emission allowances and derivatives	MiFIR Articles 1(8), 9(5), 11(4), 21(5) and 22(4)	14/07/2016 C(2016) 4301	<a href="#">RTS 2 in English</a>	<a href="#">RTS 2 in German</a>	<a href="#">RTS 2 in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 2 in English</a>	<a href="#">Annex to RTS 2 in German</a>	<a href="#">Annex to RTS 2 in French</a>		
RTS3	The volume cap mechanism and the provision of information for the purposes of transparency and other calculations	MiFIR Articles 5(9) and 22(4)	13/06/2016 C(2016) 2711	<a href="#">RTS 3 in English</a>	<a href="#">RTS 3 in German</a>	<a href="#">RTS 3 in French</a>	13/09/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 3 in English</a>	<a href="#">Annex to RTS 3 in German</a>	<a href="#">Annex to RTS 3 in French</a>		
RTS4	Criteria for determining whether derivatives subject to the clearing obligation should be subject to the trading obligation	MiFIR Article 32(6)	26/05/2016 C(2016) 2710	<a href="#">RTS 4 in English</a>	<a href="#">RTS 4 in German</a>	<a href="#">RTS 4 in French</a>	26/08/2016	<a href="#">OJ L 313, 19.11.2016, p. 11-13</a>
RTS5	Direct, substantial and foreseeable effect of derivative contracts within the Union	MiFIR Article 28(5)	13/06/2016 C(2016) 3544	<a href="#">RTS 5 in English</a>	<a href="#">RTS 5 in German</a>	<a href="#">RTS 5 in French</a>	13/09/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS6	Specifying the organisational requirements of investment firms engaged in algorithmic trading	MiFID II Article 17(7)(a) and (d)	19/07/2016 C(2016) 4478	<a href="#">RTS 6 in English</a>	<a href="#">RTS 6 in German</a>	<a href="#">RTS 6 in French</a>	22/11/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 6 in English</a>	<a href="#">Annex to RTS 6 in German</a>	<a href="#">Annex to RTS 6 in French</a>		
RTS7	Specifying organisational requirements of facilities trading venues allowances and derivatives	MiFID II Article 48(12)(a),(c) and (g)	14/07/2016 C(2016) 4387	<a href="#">RTS 7 in English</a>	<a href="#">RTS 7 in German</a>	<a href="#">RTS 7 in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 7 in English</a>	<a href="#">Annex to RTS 7 in German</a>	<a href="#">Annex to RTS 7 in French</a>		

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RTS 8	Specifying the requirements on market making agreements and schemes	MiFID II Article 17(7)(a), (b) and (c) and Article 48(12)(a) and (f)	13/06/2016 C(2016) 3523	<a href="#">RTS 8 in English</a>	<a href="#">RTS 8 in German</a>	<a href="#">RTS 8 in French</a>	13/09/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS 9	The ratio of unexecuted orders to transactions	MiFID II Article 48(12)(b)	18/05/2016 C(2016) 2775	<a href="#">RTS 9 in English</a>	<a href="#">RTS 9 in German</a>	<a href="#">RTS 9 in French</a>	18/08/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 9 in English</a>	<a href="#">Annex to RTS 9 in German</a>	<a href="#">Annex to RTS 9 in French</a>		
RTS 10	Requirements to ensure fair and non-discriminatory co-location services and fee structures	MiFID II Article 48(12)(d)	06/06/2016 C(2016) 3266	<a href="#">RTS 10 in English</a>	<a href="#">RTS 10 in German</a>	<a href="#">RTS 10 in French</a>	06/09/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS 11	Tick size regime for shares, depositary receipts and, exchange traded funds	MiFID II Article 49(3) and (4)	14/07/2016 C(2016) 4389	<a href="#">RTS 11 in English</a>	<a href="#">RTS 11 in German</a>	<a href="#">RTS 11 in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 11 in English</a>	<a href="#">Annex to RTS 11 in German</a>	<a href="#">Annex to RTS 11 in French</a>		
RTS 12	Determination of a material market in terms of liquidity relating to halt notifications	MiFID II Article 48(12)(e)	26/05/2016 C(2016) 3020	<a href="#">RTS 12 in English</a>	<a href="#">RTS 12 in German</a>	<a href="#">RTS 12 in French</a>	26/08/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS 13	Authorization, organisational requirements and the publication of transactions for data reporting services providers	MiFID II Articles 61(4), 64(6),(8), 65(6), (8), and 66(5)	02/06/2016 C(2016) 3201	<a href="#">RTS 13 in English</a>	<a href="#">RTS 13 in German</a>	<a href="#">RTS 13 in French</a>	02/09/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS 14	Specification of the offering of pre-and post-trade data and the level of disaggregation of data	MiFIR Article 12(2)	02/06/2016 C(2016) 3206	<a href="#">RTS 14 in English</a>	<a href="#">RTS 14 in German</a>	<a href="#">RTS 14 in French</a>	02/09/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS 15	Clearing access in respect of trading venues and central counterparties	MiFIR Articles 35(6) and 36(6)	24/06/2016 C(2016) 3807	<a href="#">RTS 15 in English</a>	<a href="#">RTS 15 in German</a>	<a href="#">RTS 15 in French</a>	26/09/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 15 in English</a>	<a href="#">Annex to RTS 15 in German</a>	<a href="#">Annex to RTS 15 in French</a>		

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RTS16	Access in respect of benchmarks	MiFIR Article 37(4) (a), (b), and (c)	02/06/2016 C(2016) 3203	<a href="#">RTS 16 in English</a>	<a href="#">RTS 16 in German</a>	<a href="#">RTS 16 in French</a>	02/09/2016	<a href="#">OJ L 313, 19.11.2016, p. 6-10</a>
RTS17	Admission of financial instruments to trading on regulated markets	MiFIR 3rd subpara Article 51(6)	24/05/2016 C(2016) 3017	<a href="#">RTS 17 in English</a>	<a href="#">RTS 17 in German</a>	<a href="#">RTS 17 in French</a>	24/08/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS18	Suspension and removal of financial instruments from trading reporting services providers	MiFID II 10th subpara of Article 32(2) and the 10th subpara of Article 52(2)	24/05/2016 C(2016) 3014	<a href="#">RTS 18 in English</a>	<a href="#">RTS 18 in German</a>	<a href="#">RTS 18 in French</a>	24/08/2016	Publication in the OJ on hold until Corrigendum is finalised
ITS19	Description of the functioning of MTFs and OTFs	MiFID II 3rd subpara of Article 18(11)	24/05/2016 C(2016) 3019				N/A	<a href="#">ITS 19 in the Official Journal</a>
RTS20	Criteria to establish when an activity is considered to be ancillary to the main business	MiFID II Article 2(4)	01/12/2016 C(2016) 7643	<a href="#">RTS 20 in English</a>	<a href="#">RTS 20 in German</a>	<a href="#">RTS 20 in French</a>	01/03/2017	
RTS21	Application of position limits to commodity derivatives	MiFID II Article 57(3) and (12)	1/12/2016 OC(2016) 4362	<a href="#">RTS 21 in English (Provisional version. The final text will be available soon)</a>	<a href="#">RTS 21 in German (Vorläufige Fassung; Der endgültige Text wird in Kürze veröffentlicht)</a>	<a href="#">RTS 21 in French (Version provisoire. Le texte final sera bientôt disponible)</a>	01/03/2017	
RTS22	Reporting of transactions to competent authorities	MiFIR 3rd subpara of Article 26(9)	28/07/16	<a href="#">RTS 22 in English</a>	<a href="#">RTS 22 in German</a>	<a href="#">RTS 22 in French</a>	22/11/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 22 in English</a>	<a href="#">Annex to RTS 22 in German</a>	<a href="#">Annex to RTS 22 in French</a>		
RTS23	Supply of financial instruments reference data	MiFIR the 3rd subpara of Article 27(3)	14/07/2016 C(2016) 4405	<a href="#">RTS 23 in English</a>	<a href="#">RTS 23 in German</a>	<a href="#">RTS 23 in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 23 in English</a>	<a href="#">Annex to RTS 23 in German</a>	<a href="#">Annex to RTS 23 in French</a>		
RTS24	Maintenance of relevant data relating to orders in financial instruments	MiFIR 4th subpara of Article 25(3)	24/06/2016 C(2016) 3821	<a href="#">RTS 24 in English</a>	<a href="#">RTS 24 in German</a>	<a href="#">RTS 24 in French</a>	26/09/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 24 in English</a>	<a href="#">Annex to RTS 24 in German</a>	<a href="#">Annex to RTS 24 in French</a>		

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RTS 25	Level of accuracy of business clocks	MiFID II 3rd subpara of Article 50(2)	07/06/2016 C(2016) 3316	<a href="#">RTS 25 in English</a>	<a href="#">RTS 25 in German</a>	<a href="#">RTS 25 in French</a>	07/09/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 25 in English</a>	<a href="#">Annex to RTS 25 in German</a>	<a href="#">Annex to RTS 25 in French</a>		
RTS 26	Specifying the obligation to clear derivatives traded on regulated markets and timing of acceptance for clearing (STP)	MiFIR Article 29(3)	29/06/2016 C(2016) 3944	<a href="#">RTS 26 in English</a>	<a href="#">RTS 26 in German</a>	<a href="#">RTS 26 in French</a>	29/09/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS 27	Data to be provided by execution venues on the quality of execution of transactions	MiFID II point (a) of the 1st subpara of Article 27(10)	08/06/2016 C(2016) 3333/4	<a href="#">RTS 27 in English</a>	<a href="#">RTS 27 in German</a>	<a href="#">RTS 27 in French</a>	08/09/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 27 in English</a>	<a href="#">Annex to RTS 27 in German</a>	<a href="#">Annex to RTS 27 in French</a>		
RTS 28	Annual publication by investment firms of information on the identity of execution venues and on the quality of execution	MiFID II point (b) of the 1st subpara of Article 27(10)	08/07/2016 C(2016) 3337/3	<a href="#">RTS 28 in English</a>	<a href="#">RTS 28 in German</a>	<a href="#">RTS 28 in French</a>	08/09/2016	Publication in the OJ on hold until Corrigendum is finalised
				<a href="#">Annex to RTS 28 in English</a>	<a href="#">Annex to RTS 28 in German</a>	<a href="#">Annex to RTS 28 in French</a>		

RTS	Authorisation	MiFID II Article 7(4)	14/07/2016 C(2016) 4417	<a href="#">RTS on authorisation in English</a>	<a href="#">RTS on authorisation in German</a>	<a href="#">RTS on authorisation in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
ITS	Authorisation	MiFID II 3rd subpara of Article 7(5)						
RTS	Passporting	MiFID II 3rd subpara of Articles 34(8) and the 3rd subpara of Article 35(11)	29/06/2016 C(2016) 3917	<a href="#">RTS on passporting in English</a>	<a href="#">RTS on passporting in German</a>	<a href="#">RTS on passporting in French</a>	29/09/2016	Publication in the OJ on hold until Corrigendum is finalised
ITS	Passporting	MiFID II Articles 34(9) and 35(12)						
RTS	Cooperation between authorities	MiFIR Article 46(7)	14/07/2016 C(2016) 4415	<a href="#">RTS on cooperation between authorities in English</a>	<a href="#">RTS on cooperation between authorities in German</a>	<a href="#">RTS cooperation between authorities in French</a>	14/10/2016	Publication in the OJ on hold until Corrigendum is finalised
RTS	Registration of third country firms	MiFID II Article 80(3)	14/07/2016 C(2016) 4407	<a href="#">RTS on registration of third country firms in English</a>	<a href="#">RTS on registration of third country firms in German</a>	<a href="#">RTS on registration of third country firms in French</a>	14/10/2016	OJ L 313, 19.11.2016, p. 6–10

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RTS	An exhaustive list of information to be included by proposed acquirers in the notification of a proposed acquisition of a qualifying holding in an investment firm	MiFID I Article 10(a)(8)						
ITS	Standard forms, templates and procedures for the consultation process between relevant competent authorities in relation to the notification of a proposed acquisition of a qualifying holding in an investment firm	MiFID I Article						

ITS 1	Standard forms, templates and procedures for cooperation arrangements	MiFID II Article 79(9)						
ITS 2	Suspension and removal of financial instruments from trading on RM, a MTF or OTF	MiFID II Articles 32(3) and 52(3)						
ITS 3	Standard forms, templates and procedures for the authorisation of data reporting services providers	MiFID II Article 61(5)						
ITS 4	Position reporting	MiFID II Article 58(5)						
ITS 5	Format and timing of weekly position reports	MiFID II Article 58(7)						
ITS 6	Standard forms, templates and procedures for competent authorities to cooperate in supervisory activities, on-site verifications, and investigations and for the exchange of information	MiFID II Articles 80(4) and 81(4)						
ITS 7	Standard forms, templates and procedures for the consultation of other competent authorities prior to granting an autorisation	MiFID II Article 84(4)						
ITS 8	Procedures and forms for submitting information on sanctions and measures	MiFID II Article 71(7)						