

**CONSULTATION
PAPER**

CONSULTATION PAPER

on the proposal for amending Commission Implementing Regulation (EU) 2015/2451 laying down implementing technical standards with regard to the templates and structure of the disclosure of specific information by supervisory authorities in accordance with Directive 2009/138/EC

EIOPA-BoS-25/374
9 October 2025



eiopa

European Insurance and
Occupational Pensions Authority

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RESPONDING TO THIS PAPER

EIOPA welcomes comments on the Consultation Paper on the proposal for amending Commission Implementing Regulation (EU) 2015/2451 of 2 December 2015 laying down implementing technical standards with regard to the templates and structure of the disclosure of specific information by supervisory authorities in accordance with Directive 2009/138/EC of the European Parliament and of the Council.

Comments are most helpful if they:

- ▶ respond to the question stated, where applicable;
- ▶ contain a clear rationale; and
- ▶ describe any alternatives EIOPA should consider.

Please send your comments to EIOPA via EUSurvey ([link](#)) by 5 January 2026, 23:59 CET.

Contributions not provided via EUSurvey or after the deadline will not be processed. In case you have any questions please contact SolvencyIIreview@eiopa.europa.eu.

Publication of responses

Your responses will be published on the EIOPA website unless: you request to treat them confidential, or they are unlawful, or they would infringe the rights of any third-party. Please, indicate clearly and prominently in your submission any part you do not wish to be publicly disclosed. EIOPA may also publish a summary of the survey input received on its website.

Please note that EIOPA is subject to Regulation (EC) No 1049/2001 regarding public access to documents and EIOPA's rules on public access to documents.¹

Declaration by the contributor

By sending your contribution to EIOPA you consent to publication of all non-confidential information in your contribution, in whole/in part – as indicated in your responses, including to the publication of the name of your organisation, and you thereby declare that nothing within your response is unlawful or would infringe the rights of any third party in a manner that would prevent the publication.

Data protection

Please note that personal contact details (such as name of individuals, email addresses and phone numbers) will not be published. EIOPA, as a European Authority, will process any personal data in line with Regulation (EU) 2018/1725. More information on how personal data is treated can be found in the privacy statement at the end of this material.

¹ [Public Access to Documents](#).

CONSULTATION PAPER OVERVIEW & NEXT STEPS

EIOPA carries out consultations before issuing and amending draft technical standards in accordance with Articles 10 and 15 of Regulation (EU) No 1094/2010.

Commission Implementing Regulation (EU) 2015/2451 lays down implementing technical standards with regard to the templates and structure of the disclosure of specific information by supervisory authorities in accordance with Directive 2009/138/EC. This consultation paper presents the draft amendments to the technical standards.

The implementing technical standards were adopted in 2015. A review of the technical standards is necessary to ensure consistency with the outcome of the review of Directive 2009/138/EC (Solvency II Directive) and Commission Delegated Regulation (EU) 2015/35. EIOPA is also reviewing them to keep up to date the reference to the supervisory reporting templates of Solvency II.

Some targeted changes to the Annexes of the technical standard are proposed:

- The technical standards should reflect the amendment to Annex XXI of Commission Delegated Regulation (EU) 2015/35 in 2019 related to the application of the principal loss-absorbency mechanism.
- The technical standards should be updated to reflect Implementing Regulation (EU) 2023/894 repealing Implementing Regulation (EU) 2015/2450 and correct editorial mistakes for the reporting templates.
- The technical standards should also reflect the amendment to the Solvency II Directive with regard to the list of exercise of options by National Supervisory Authorities.

The consultation proposal takes into account the draft amendments to the Commission Delegated Regulation that the European Commission consulted upon from 18 July to 5 September 2025. The final draft technical standards will be based on the final amendments to the Commission Delegated Regulation.

Next steps

EIOPA will revise the proposal in view of the stakeholder comments received. EIOPA will publish a report on the consultation including the revised proposal and the resolution of stakeholder comments.

DRAFT TECHNICAL STANDARDS



EUROPEAN COMMISSION

Brussels, DD.MM.YYYY
C(20..) yyy final

COMMISSION IMPLEMENTING REGULATION (EU) No .../..

of []

COMMISSION IMPLEMENTING REGULATION (EU) .../... amending the implementing technical standards laid down in Implementing Regulation (EU) 2015/2451 as regards the templates and structure of the disclosure of specific information by supervisory authorities in accordance with Directive 2009/138/EC of the European Parliament and of the Council

of []

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Directive 2009/138/EC of 25 November 2009 of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II)², and in particular Article 31(5), third subparagraph thereof,

Whereas:

- (1) To ensure consistency with the amended Directive 2009/138/EC, the amended Annex XXI of Commission Delegated Regulation (EU) 2015/35³ and Commission Implementing Regulation (EU) 2023/895⁴, it is necessary to substantially revise the templates and instructions for disclosure laid down in Implementing Regulation (EU) 2015/2451.
- (2) Implementing Regulation (EU) 2015/2451 should therefore be amended accordingly.
- (3) This Regulation is based on the draft implementing technical standards submitted to the Commission by the European Insurance and Occupational Pensions Authority.
- (4) The European Insurance and Occupational Pensions Authority has conducted open public consultations on the draft implementing technical standards on which this Regulation is based, analysed the potential related costs and benefits and requested the advice of the Insurance and Reinsurance Stakeholder Group established in accordance with Article 37 of Regulation (EU) No 1094/2010 of the European Parliament and of the Council⁵,

² OJ L 335, 17.12.2009, p.1.

³ Commission Delegated Regulation (EU) 2015/35 of 10 October 2014 supplementing Directive 2009/138/EC of the European Parliament and of the Council on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) (OJ L 12, 17.1.2015, p. 1–797).

⁴ Commission Implementing Regulation (EU) 2023/895 of 4 April 2023 laying down implementing technical standards for the application of Directive 2009/138/EC of the European Parliament and the Council with regard to the procedures, formats and templates for the disclosure by insurance and reinsurance undertakings of their report on their solvency and financial condition and repealing Implementing Regulation (EU) 2015/2452 (OJ L 120, 05/05/2023, p. 1597–1805).

⁵ Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/79/EC (OJ L 331, 15.12.2010, p. 48).

HAS ADOPTED THIS REGULATION:

Article 1

Implementing Regulation (EU) 2015/2451 is amended as follows:

1. Annex I of Implementing Regulation (EU) 2015/2451 is replaced by the text set out in Annex I of this Regulation.
2. Annex II of Implementing Regulation (EU) 2015/2451 is replaced by the text set out in Annex II of this Regulation.
3. Annex III of Implementing Regulation (EU) 2015/2451 is replaced by the text set out in Annex III of this Regulation.

Article 2

Entry into force

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, DATE

[For the Commission

The President]

[For the Commission

On behalf of the President]

[Position]

ANNEX I

TEMPLATES FOR THE DISCLOSURES OF AGGREGATE STATISTICAL DATA

The disclosure of aggregate statistical data referred to in Article 3 shall be performed using Templates A, B, C and D below.

TEMPLATE A FOR THE DISCLOSURE OF AGGREGATE STATISTICAL DATA WITH REGARD TO INSURANCE AND REINSURANCE UNDERTAKINGS SUPERVISED UNDER DIRECTIVE 2009/138/EC

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
TYPES OF UNDERTAKINGS																					
AS1a	The number of insurance and reinsurance undertakings																				
AS1b	The number of branches as referred to in Article 13(11) of Directive 2009/138/EC established in the Member State of the supervisory authority																				
AS1c	The number of branches as referred to in Article 162(3) of Directive 2009/138/EC																				

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
	established in the Member State of the supervisory authority																				
AS2	The number of Union branches of insurance and reinsurance undertakings established in the Member State of the supervisory authority carrying out relevant business in one or more other Member States																				
AS3	The number of insurance undertakings established in the Member State of the supervisory authority pursuing business in other Member States under the freedom to provide services					N/A					N/A					N/A					N/A
AS4a	The number of insurance undertakings established in other Member States which have notified their intention to pursue business in the Member State of the supervisory authority under the freedom to provide services					N/A					N/A					N/A					N/A

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
AS4b	The number of insurance undertakings established in other Member States which actually pursue business in the Member State of the supervisory authority under the freedom to provide services					N/A					N/A					N/A					N/A
AS5	The number of insurance and reinsurance undertakings falling outside the scope of Directive 2009/138/EC																				
AS6	The number of special purpose vehicles authorised in accordance with Article 211 of Directive 2009/138/EC from insurance and reinsurance undertakings		N/A					N/A					N/A					N/A			
AS7	The number of insurance and reinsurance undertakings subject to reorganisation measures or winding-up proceedings																				
USE OF ADJUSTMENTS OR TRANSITIONAL MEASURES BY UNDERTAKINGS																					

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
AS8	The number of insurance and reinsurance undertakings and the number of their portfolios where the matching adjustment referred to in Article 77b of Directive 2009/138/EC is applied																				
AS9	The number of insurance and reinsurance undertakings applying the volatility adjustment referred to in Article 77d of Directive 2009/138/EC																				
AS10	The number of insurance and reinsurance undertakings applying the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC																				
AS11	The number of insurance and reinsurance undertakings applying the transitional deduction to technical provisions referred to																				

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
	in Article 308d Directive 2009/138/EC																				
AMOUNTS OF ASSETS, LIABILITIES AND OWN FUNDS																					
AS12	The total amount of assets of the insurance and reinsurance undertakings valued in accordance with Article 75 of Directive 2009/138/EC																				
AS12a	Intangible assets																				
AS12b	Deferred tax assets																				
AS12c	Pension benefit surplus																				
AS12d	Property, plant & equipment held for own use																				
AS12e	Investments (other than assets held for unit-linked and index-linked contracts)																				
AS12f	Assets held for unit-linked & index-linked contracts																				
AS12g	Loans & mortgages (except loans on policies)																				
AS12h	Loans on policies																				
AS12i	Reinsurance recoverables																				
AS12j	Deposits to cedants																				

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
AS12k	Insurance & intermediaries receivables																				
AS12l	Reinsurance receivables																				
AS12m	Receivables (trade, not insurance)																				
AS12n	Own shares																				
AS12o	Amounts due in respect of own fund items or initial fund called up but not yet paid in																				
AS12p	Cash and cash equivalents																				
AS12q	Any other assets, not elsewhere shown																				
AS13	The total amount of liabilities of the insurance and reinsurance undertakings valued in accordance with Articles 75 to 86 of Directive 2009/138/EC																				
AS13a	Technical provisions																				
AS13b	Other liabilities, excluding subordinated liabilities which are not included in the own funds																				

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
AS13c	Subordinated liabilities which are not included in the own funds																				
AS14a	The total amount of basic own funds																				
AS14aa	Of which, subordinated liabilities																				
AS14b	The total amount of ancillary own funds																				
AS15	The total eligible amount of own funds to cover the Solvency Capital Requirement																				
AS15a	Tier 1 unrestricted																				
AS15b	Tier 1 restricted																				
AS15c	Tier 2																				
AS15d	Tier 3																				
AS16	The total eligible amount of basic own funds to cover the Minimum Capital Requirement																				
AS16a	Tier 1 unrestricted																				
AS16b	Tier 1 restricted																				

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
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AS16c	Tier 2																				
REGULATORY CAPITAL REQUIREMENTS – STANDARD FORMULA																					
AS17	The total amount of the Minimum Capital Requirement																				
AS18	The total amount of the Solvency Capital Requirement		N/A					N/A					N/A					N/A			
AS19	Total amount of the Solvency Capital Requirement calculated using the standard formula by risk module and sub-module — at the level of aggregation available — expressed as percentage of the total amount of the Solvency Capital Requirement ⁶		N/A					N/A					N/A					N/A			
AS19a	Market risk		N/A					N/A					N/A					N/A			

⁶ Data on the Solvency Capital Requirement by risk module and sub-module does not include information on undertakings with ring-fenced funds or matching portfolios, since data on the Solvency Capital Requirement is only available at entity level for these undertakings due to the nature of the calculation.

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
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AS19aa	Interest rate risk			N/A					N/A					N/A						N/A	
AS19ab	Equity risk			N/A					N/A					N/A						N/A	
AS19ac	Property risk			N/A					N/A					N/A						N/A	
AS19ad	Spread risk			N/A					N/A					N/A						N/A	
AS19ae	Market risk concentrations			N/A					N/A					N/A						N/A	
AS19af	Currency risk			N/A					N/A					N/A						N/A	
AS19b	Counterparty default risk			N/A					N/A					N/A						N/A	
AS19c	Life underwriting risk			N/A					N/A					N/A						N/A	
AS19ca	Mortality risk			N/A					N/A					N/A						N/A	
AS19cb	Longevity risk			N/A					N/A					N/A						N/A	
AS19cc	Disability-morbidity risk			N/A					N/A					N/A						N/A	
AS19cd	Lapse risk			N/A					N/A					N/A						N/A	

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
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AS19ce	Life expense risk			N/A					N/A					N/A						N/A	
AS19cf	Revision risk			N/A					N/A					N/A						N/A	
AS19cg	Life catastrophe risk			N/A					N/A					N/A						N/A	
AS19d	Health underwriting risk			N/A					N/A					N/A						N/A	
AS19da	SLT health underwriting risk			N/A					N/A					N/A						N/A	
AS19db	NSLT health underwriting risk			N/A					N/A					N/A						N/A	
AS19dc	Health catastrophe risk			N/A					N/A					N/A						N/A	
AS19e	Non-life underwriting risk			N/A					N/A					N/A						N/A	
AS19ea	Non-life premium and reserve risk			N/A					N/A					N/A						N/A	
AS19eb	Non-life lapse risk			N/A					N/A					N/A						N/A	
AS19ec	Non-life catastrophe risk			N/A					N/A					N/A						N/A	
AS19f	Intangible asset risk			N/A					N/A					N/A						N/A	

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
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AS19g	Operational risk		N/A					N/A					N/A					N/A			
AS20	Total amount of the Solvency Capital Requirement for spread risk and market concentration sub-modules and counterparty default risk module for which a reassessment of the credit quality steps of the larger or more complex exposures has been conducted in accordance with Article 4(5) of Delegated Regulation (EU) 2015/35 — at the level of aggregation available — expressed as percentage of the total amount of the respective sub-modules or module (where the Solvency Capital Requirement for credit		N/A					N/A					N/A					N/A			

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
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	risk is calculated using the standard formula) ⁷																				
AS20a	Spread risk				N/A					N/A					N/A						N/A
AS20b	Market risk concentration				N/A					N/A					N/A						N/A
AS20c	Counterparty default risk				N/A					N/A					N/A						N/A
REGULATORY CAPITAL REQUIREMENTS – INTERNAL MODELS																					
AS21	Total amount of the Solvency Capital Requirement calculated using an approved partial internal model — at the level of aggregation available — expressed as percentage of the total amount of the Solvency Capital Requirement				N/A					N/A					N/A						N/A

⁷ Data on the Solvency Capital Requirement by risk module and sub-module does not include information on undertakings with ring-fenced funds or matching portfolios, since data on the Solvency Capital Requirement is only available at entity level for these undertakings due to the nature of the calculation.

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
AS21a	Total amount of the Solvency Capital Requirement calculated using an approved partial internal model which scope includes credit risk in both market and counterparty default risk — at the level of aggregation available — expressed as percentage of the total amount of the Solvency Capital Requirement calculated using partial internal model		N/A					N/A					N/A					N/A			
AS22a	The number of insurance and reinsurance undertakings using an approved full internal model for the calculation of the Solvency Capital Requirement		N/A					N/A					N/A					N/A			
AS22b	The number of insurance and reinsurance undertakings using an approved partial internal model for the calculation of the Solvency Capital Requirement		N/A					N/A					N/A					N/A			

Cell Number	Item	31.12.(x-4)					31.12.(x-3)					31.12.(x-2)					31.12.(x-1)				
		All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings	All insurance and reinsurance undertakings	Life insurance undertakings	Non-life insurance undertakings	Insurance undertakings which simultaneously pursue both life and non-life insurance activities	Reinsurance undertakings
AS22c	The number of insurance and reinsurance undertakings using an approved internal model which scope includes credit risk in both market risk and counterparty default risk		N/A					N/A					N/A					N/A			
REGULATORY CAPITAL REQUIREMENTS – CAPITAL ADD-ONS																					
AS23a	The number of capital add-ons		N/A					N/A					N/A					N/A			
AS23b	The average capital add-on per undertaking		N/A					N/A					N/A					N/A			
AS23c	The distribution of capital add-ons measured as a percentage of the Solvency Capital Requirement with regard to all insurance and reinsurance undertakings supervised under Directive 2009/138/EC		N/A					N/A					N/A					N/A			

TEMPLATE B FOR THE DISCLOSURE OF AGGREGATE STATISTICAL DATA WITH REGARD TO INSURANCE GROUPS SUPERVISED UNDER DIRECTIVE 2009/138/EC

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
TYPES OF GROUPS					
AG24	The number of insurance groups of which the supervisory authority is the group supervisor including:				
AG24a	The number of insurance and reinsurance subsidiary undertakings at national level				
AG24b	The number of insurance and reinsurance subsidiary undertakings in other Member States				
AG24c	The number of insurance and reinsurance subsidiary undertakings in third countries:				
AG24ca	Of which the number of insurance and reinsurance subsidiary undertakings in equivalent third countries				
AG24cb	Of which the number of insurance and reinsurance subsidiary undertakings in non-equivalent third countries				
AG25	The number of insurance groups of which the supervisory authority is the group supervisor, where the ultimate parent insurance or reinsurance undertaking or insurance holding company which has its head office in the Union is a subsidiary undertaking of a company which has its head office outside of the Union				
AG26	The number of ultimate parent insurance or reinsurance undertakings or insurance holding companies or mixed financial holding companies subject to group supervision at national level by the supervisory authority in accordance with Article 216 of Directive 2009/138/EC, including:				
AG26a	Name of such undertaking or holding company				
AG26b	The number of its insurance and reinsurance subsidiary undertakings at national level				
AG26c	The number of its insurance and reinsurance subsidiary undertakings in other Member States				
AG26d	The number of its insurance and reinsurance subsidiary undertakings in third countries				
AG26da	Of which, the number of its insurance and reinsurance subsidiary undertakings in equivalent third countries				
AG26db	Of which, the number of its insurance and reinsurance subsidiary undertakings in non-equivalent third countries				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
AG27	The number of ultimate parent insurance or reinsurance undertakings or insurance holding companies subject to group supervision at national level by the supervisory authority in accordance with Article 216 of Directive 2009/138/EC, where another related ultimate parent undertaking at national level is present as referred to in Article 217 of Directive 2009/138/EC				
AG28	The number of cross-border insurance groups where the supervisory authority is the group supervisor				
ACCOUNTING METHOD AND GROUP OWN FUNDS					
AG29	The number of insurance groups that have been allowed to use method 2 or a combination of methods 1 and 2 in accordance with Article 220(2) of Directive 2009/138/EC for the calculation of the solvency at the level of the group				
AG30	The total amount of the group eligible own funds for the insurance groups of which the supervisory authority is the group supervisor				
AG30a	The total amount of the group eligible own funds calculated in accordance with method 1 as referred to in Article 230(1) of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor				
AG30b	The total amount of the group eligible own funds calculated in accordance with method 2 as referred to in Article 233 of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor				
AG30c	The total amount of the group eligible own funds calculated in accordance with the combination of method 1 and method 2 as referred to in Article 220 of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor				
GROUP SOLVENCY CAPITAL REQUIREMENT					
AG31	The total amount of the group Solvency Capital Requirement for the insurance groups of which the supervisory authority is the group supervisor				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
AG31a	The total amount of the group Solvency Capital Requirement calculated in accordance with method 1 as referred to in Article 230(1) of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor for the group Solvency Capital Requirement				
AG31b	The total amount of the group Solvency Capital Requirement calculated in accordance with method 2 as referred to in Article 233 of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor for the group Solvency Capital Requirement				
AG31c	The total amount of the group Solvency Capital Requirement calculated in accordance with a combination of methods 1 and 2 for the insurance groups of which the supervisory authority is the group supervisor for the group Solvency Capital Requirement				
GROUP INTERNAL MODELS					
AG32a	The number of insurance groups of which the supervisory authority is the group supervisor using an approved full internal model for the calculation of the group Solvency Capital Requirements				
AG32aa	Of which, approvals in accordance with Article 230 of Directive 2009/138/EC				
AG32ab	Of which, approvals in accordance with Article 231 of Directive 2009/138/EC				
AG32b	The number of insurance groups of which the supervisory authority is the group supervisor using an approved partial internal model for the calculation of the group Solvency Capital Requirement				
AG32ba	Of which, approvals in accordance with Article 230 of Directive 2009/138/EC				
AG32bb	Of which, approvals in accordance with Article 231 of Directive 2009/138/EC				

TEMPLATE C FOR THE DISCLOSURE OF QUANTITATIVE AGGREGATE STATISTICAL DATA ON THE SUPERVISORY AUTHORITY

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
STAFF OF THE SUPERVISORY AUTHORITY					
B1b	The number of staff at the end of the calendar year				
ON-SITE INSPECTIONS					
B2a	The total number of on-site inspections undertaken both at solo and group level				
B2aa	Of which, the number of regular inspections				
B2ab	Of which, the number of ad-hoc inspections				
B2ac	Of which, the number of on-site inspections mandated to third parties				
B2ad	Of which, the number of on-site inspections under group supervision which were undertaken jointly with other members of the group's College of supervisors				
B2ae	Of which, the total number of inspections conducted in order to review and evaluate the reliance of undertakings on external ratings				
B2b	The total number of man-days spent on on-site inspections both at solo and group level				
B3	The number of formal reviews of ongoing compliance of full or partial internal models with the requirements both at solo and group level				
B3a	Of which, the number of reviews conducted in order to review and evaluate the reliance of undertakings on external ratings				
INTERNAL MODELS					
B4a	The number of partial and of full internal models submitted for approval at solo level				
B4aa	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk submitted for approval at solo level				
B4b	The number of successful applications for approval of partial and of full internal models at solo level				
B4ba	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk at solo level				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
B4c	The number of partial and of full internal models submitted for approval at group level				
B4ca	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk submitted for approval at group level				
B4d	The number of successful applications for approval of partial and of full internal models at group level				
B4da	Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk at group level				
SUPERVISORY MEASURES AND POWERS					
B5a	The number of corrective measures taken, as defined by Articles 110 of Directive 2009/138/EC				
B5b	The number of corrective measures taken, as defined by Articles 117 of Directive 2009/138/EC				
B5c	The number of corrective measures taken, as defined by Articles 119 of Directive 2009/138/EC				
B5ca	Of which, the number of corrective measures which were triggered by a deviation of the risk profile of the insurance or reinsurance undertakings with respect to their credit risk				
B5d	The number of corrective measures taken, as defined by Articles 137 of Directive 2009/138/EC				
B5e	The number of corrective measures taken, as defined by Articles 138 of Directive 2009/138/EC				
B5f	The number of corrective measures taken, as defined by Articles 139 of Directive 2009/138/EC				
B6	The number of authorisations withdrawn				
B7	The number of authorisations granted to insurance or reinsurance undertakings				
B9	The number of applications submitted to the supervisory authorities to use the matching adjustment referred to in Article 77b Directive 2009/138/EC.				
B9a	Of which, the number of successful applications to use the matching adjustment referred to in Article 77b Directive 2009/138/EC				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
B10	The number of applications submitted to the supervisory authorities to use the volatility adjustment referred to in Article 77d of Directive 2009/138/EC				
B10a	Of which, the number of successful applications to use the volatility adjustment referred to in Article 77d of Directive 2009/138/EC				
B11a	The number of extensions granted in accordance with Article 138(4) of Directive 2009/138/EC				
B11b	The average duration of extensions granted in accordance with Article 138(4) of Directive 2009/138/EC				
B12	The number of authorisations granted in accordance with Article 304 of Directive 2009/138/EC				
B13	The number of applications submitted to the supervisory authority to use the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC				
B13a	Of which, the number of successful applications to use the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC				
B13b	The number of decisions to revoke the approval of this transitional measure pursuant to article 308e of Directive 2009/138/EC.				
B14	The number of applications submitted to the supervisory authority to use the transitional deduction to technical provisions referred to in Article 308d Directive 2009/138/EC				
B14a	Of which, the number of successful applications to use the transitional deduction to technical provisions referred to in Article 308d Directive 2009/138/EC				
COLLEGES OF SUPERVISORS					
B15a	The number of meetings of Colleges of supervisors which the supervisory authority attended as a member				
B15b	The number of meetings of Colleges of supervisors which the supervisory authority chaired as group supervisor				
OWN FUND APPROVALS					
B16a	The number of applications submitted to the supervisory authorities for the approval of ancillary own funds				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
B16aa	Of which, the number of successful applications for approval of ancillary own funds				
B17	The number of applications submitted to the supervisory authorities for approval of the assessment and classification of own-fund items, which are not covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35				
B17a	Of which, the number of successful applications for the approval of the assessment and classification of own-fund items, which are not covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35				
PEER REVIEWS					
B18a	The number of peer review analyses organised and conducted by EIOPA in accordance with Article 30 of Regulation (EU) No 1094/2010, in which the supervisory authority participated				
LOSS-ABSORBENCY MECHANISM					
B19	The number of applications submitted to the supervisory authorities to waive the application of the principal loss-absorbency mechanism referred to in point (e) of paragraph 1 of Article 71 of Delegated Regulation (EU) 2015/35				
B19a	Of which, the number of successful applications to waive the application of the principal loss-absorbency mechanism referred to in point (e) of paragraph 1 of Article 71 of Delegated Regulation (EU) 2015/35				
SMALL AND NON-COMPLEX UNDERTAKINGS					
B20	The number of undertakings classified as small and non-complex				
B20a	Of which, the number of undertakings requested to refrain from using one or more proportionality measures in accordance with Article 29c(2) of Directive 2009/138/EC				
PROPORTIONALITY MEASURES FOR UNDERTAKINGS					
B21	The number of undertakings which are allowed to apply one or several proportionality measures in accordance with Article 29d of Directive 2009/138/EC and Article 327g of Delegated Regulation (EU) 2015/35				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
B21a	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 35(5a) of Directive 2009/138/EC				
B21b	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 41 of Directive 2009/138/EC				
B21c	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 45(1b) of Directive 2009/138/EC				
B21d	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 45(5) of Directive 2009/138/EC				
B21e	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 77(8) of Directive 2009/138/EC				
B21f	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 144a(4) of Directive 2009/138/EC				
B21g	Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 327g of Delegated Regulation (EU) 2015/35				
SMALL AND NON-COMPLEX GROUPS					
B22	The number of groups classified as small and non-complex				
B22a	Of which, the number of groups requested to refrain from using one or more proportionality measures in accordance with Article 29c(2) of Directive 2009/138/EC				
PROPORTIONALITY MEASURES FOR GROUPS					
B23	The number of groups which are allowed to apply one or several proportionality measures in accordance with Article 29d of Directive 2009/138/EC and Article 327g of Delegated Regulation (EU) 2015/35				
B23a	Of which, the number of groups allowed to apply the proportionality measure provided in Article 35(5a) of Directive 2009/138/EC				

Cell Number	Item	31.12.(x-4)	31.12.(x-3)	31.12.(x-2)	31.12.(x-1)
B23b	Of which, the number of groups allowed to apply the proportionality measure provided in Article 41 of Directive 2009/138/EC				
B23c	Of which, the number of groups allowed to apply the proportionality measure provided in Article 45(1b) of Directive 2009/138/EC				
B23d	Of which, the number of groups allowed to apply the proportionality measure provided in Article 45(5) of Directive 2009/138/EC				
B23e	Of which, the number of groups allowed to apply the proportionality measure provided in Article 77(8) of Directive 2009/138/EC				
B23f	Of which, the number of groups allowed to apply the proportionality measure provided in Article 144a(4) of Directive 2009/138/EC				
B23g	Of which, the number of groups allowed to apply the proportionality measure provided in Article 327g of Delegated Regulation (EU) 2015/35				
EXEMPTION FOR GROUP SUPERVISION					
B24a	The number of groups for which group supervision does not apply, on the basis of a decision not to include one or several undertakings in the group supervision in accordance with Article 214 of Directive 2009/138/EC				
B24b	The number of groups for which group supervision only applies at the level of an intermediate parent undertaking, on the basis of a decision not to include one or several undertakings in the group supervision in accordance with Article 214 of Directive 2009/138/EC				

TEMPLATE D FOR THE DISCLOSURE OF QUALITATIVE AGGREGATE STATISTICAL DATA ON THE SUPERVISORY AUTHORITY

Information shall be disclosed under the headings below. The disclosure shall include data of the four previous years under each heading.

B1a - The structure of the supervisory authority

B8a - The criteria used for the application of capital add-ons

B8b - The criteria used for the calculation of capital add-ons

B8c - The criteria used for the removal of capital add-ons

B16b - The main features of the approved items of ancillary own funds

B17b - The main features of the approved items of own-fund items, which are not covered by the relevant lists of the Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35

B17c - The method used to assess and classify the approved items of own-fund items, which are not covered by the relevant lists of the Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35

B18b - The scope of peer review analyses organised and conducted by EIOPA in accordance with Article 30 of Regulation (EU) No 1094/2010, in which the supervisory authority participated

ANNEX II

INSTRUCTIONS FOR THE DISCLOSURES OF AGGREGATE STATISTICAL DATA

The disclosure of aggregate statistical data as referred to in Article 3 shall be performed in accordance with the instructions and item definitions laid down in this Annex.

Number of previous years of disclosure

In accordance with Article 316(2) of Delegated Regulation (EU) 2015/35 data shall be disclosed concerning the four previous calendar years. For the years of reporting relating to the calendar year ending before [2027], information should be disclosed based on previous instructions. Each time a disclosure is made, the calendar years for which the disclosures relate to shall be updated. Within Templates A to C the 'x' in the first row of the table represents the current year at the time at which the disclosure is made.

Disclosure deadlines and financial year end

The timing of the financial year end of insurance and reinsurance undertakings may affect the year in which data is disclosed by supervisory authorities. The last paragraph of Part A of Annex XXI to Delegated Regulation (EU) 2015/35 provides that the disclosure of data concerning supervised undertakings and groups relates to the financial year which ended in the calendar year prior to the year of disclosure. Where insurance or reinsurance undertakings have a financial year end after 31 December, the aggregation and disclosure of this data by supervisory authorities takes place in the year after the one in which the financial year ended.

Cell numbering

The cell numbers correspond to the order and numbering of Annex XXI of Delegated Regulation (EU) 2015/35, covering first information required under part A of Annex XXI concerning insurance and reinsurance undertakings (cells AS), followed by information required under part

A of Annex XXI concerning insurance groups (cells AG), and finally information required under part B of Annex XXI concerning supervisory authorities (cells B).

Item definitions

The item definitions clarify the specific data to be disclosed or the source of the data. All references to cell numbers refer to other cells within the Templates in this Regulation. All code references of templates or template items in the item definitions refer to the templates or template items with identical code references, as laid down in Commission Implementing Regulation (EU) No XX/YY of [date] laying down implementing technical standards with regard to the templates for the submission of information to the supervisory authorities in accordance with Directive 2009/138/EC of the European Parliament and of the Council⁸. Where an item definition is not provided, the data to be disclosed is considered to be clear.

Specific instructions concerning Template A

In accordance with Part A of Annex XXI to Delegated Regulation (EU) 2015/35, data concerning supervised insurance and reinsurance undertakings shall be provided separately for (1) all insurance undertakings, (2) life insurance undertakings, (3) non-life insurance undertaking, (4) insurance undertaking which simultaneously pursue both life and non-life insurance activities, and (5) reinsurance undertakings, unless the cell is marked as not applicable (N/A).

The column in Template A covering information on ‘all insurance and reinsurance undertaking’ is highlighted in light green to indicate that these cells represent the sum of the information provided in the white cells on the different categories of undertakings described in the paragraph above, where such information is provided separately.

⁸ Not yet published in the Official Journal.

Specific instructions concerning Templates C and D

Templates C and D concern the disclosure of data on the supervisory authority based on Part B of Annex XXI to Delegated Regulation (EU) 2015/35 with Template C covering quantitative data and Template D covering qualitative data. Within Template D, information covering previous years shall be provided under each individual heading, such as ‘The structure of the supervisory authority’. Where the information is unchanged during more than one calendar year, the supervisory authority shall indicate the number of calendar years for which the information applies. In other respects, Member States may decide on the specific format and structure that is appropriate based on the nature and length of the information to be provided under each of the headings within Template D.

I. ITEM DEFINITIONS FOR THE DISCLOSURE OF AGGREGATE STATISTICAL DATA WITH REGARD TO INSURANCE AND REINSURANCE UNDERTAKINGS SUPERVISED UNDER DIRECTIVE 2009/138/EC

ITEM	CELL NUMBER	DEFINITION
The number of insurance and reinsurance undertakings	AS1a	The number of direct life or non-life insurance or reinsurance undertakings, which have received authorisation in accordance with Article 14 of Directive 2009/138/EC and fall within the scope of Directive 2009/138/EC.
The number of branches as referred to in Article 13(11) of Directive 2009/138/EC established in the Member State of the supervisory authority	AS1b	The number of branches of insurance or reinsurance undertakings, with head offices situated in another Member State.
The number of branches as referred to in Article 162(3) of Directive 2009/138/EC established in the Member State of the supervisory authority	AS1c	The number of branches belonging to insurance or reinsurance undertakings with head offices situated outside the EEA.
The number of Union branches of insurance and reinsurance undertakings established in the Member State of the supervisory	AS2	

ITEM	CELL NUMBER	DEFINITION
authority carrying out relevant business in one or more other Member States		
The number of insurance undertakings established in the Member State of the supervisory authority pursuing business in other Member States under the freedom to provide services	AS3	Information of the supervisory authority in the home Member State on insurance undertakings that actually pursue business under the freedom to provide services in other Member States based on template S.04.04.01.
The number of insurance undertakings established in other Member States which have notified their intention to pursue business in the Member State of the supervisory authority under the freedom to provide services	AS4a	Information of the supervisory authority in the host Member State on insurance undertakings notified as intending to pursue business in its Member State under the freedom to provide services.
The number of insurance undertakings established in other Member States which actually pursue business in the Member State of the supervisory authority	AS4b	Information of the supervisory authority in the host Member State on insurance undertakings that actually carry out business in its Member State under the freedom to provide services. This number is based on the

ITEM	CELL NUMBER	DEFINITION
under the freedom to provide services		information exchange between supervisory authorities of the home and host Member State.
The number of insurance and reinsurance undertakings falling outside the scope of Directive 2009/138/EC	AS5	The number of insurance and reinsurance undertakings falling outside the scope of Directive 2009/138/EC in accordance with Articles 4 to 12 of Directive 2009/138/EC.
The number of special purpose vehicles authorised in accordance with Article 211 of Directive 2009/138/EC from insurance and reinsurance undertakings	AS6	
The number of insurance and reinsurance undertakings subject to reorganisation measures or winding-up proceedings	AS7	The number of insurance and reinsurance undertakings, including third country branches, subject to reorganisation measures or winding-up proceedings. Reorganisation measures are the measures under Title IV, Chapter II of Directive 2009/138/EC. Winding-up proceedings are the procedures under

ITEM	CELL NUMBER	DEFINITION
		Title IV, Chapter III of Directive 2009/138/EC.
The number of insurance and reinsurance undertakings and the number of their portfolios where the matching adjustment referred to in Article 77b of Directive 2009/138/EC is applied	AS8	
The number of insurance and reinsurance undertakings applying the volatility adjustment referred to in Article 77d of Directive 2009/138/EC	AS9	
The number of insurance and reinsurance undertakings applying the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC	AS10	
The number of insurance and reinsurance undertakings applying the transitional deduction to technical provisions referred to in	AS11	

ITEM	CELL NUMBER	DEFINITION
Article 308d Directive 2009/138/EC		
The total amount of assets of the insurance and reinsurance undertakings valued in accordance with Article 75 of Directive 2009/138/EC	AS12	Item C0010/R0500 of template S.02.01.01
Intangible assets	AS12a	Item C0010/R0030 of template S.02.01.01
Deferred tax assets	AS12b	Item C0010/R0040 of template S.02.01.01
Pension benefit surplus	AS12c	Item C0010/R0050 of template S.02.01.01
Property, plant & equipment held for own use	AS12d	Item C0010/R0060 of template S.02.01.01
Investments (other than assets held for unit-linked and index-linked contracts)	AS12e	Item C0010/R0070 of template S.02.01.01
Assets held for unit-linked & index-linked contracts	AS12f	Item C0010/R0220 of template S.02.01.01
Loans & mortgages (except loans on policies)	AS12g	The sum of Items C0010/R0250 and C0010/R0260 of template S.02.01.01

ITEM	CELL NUMBER	DEFINITION
Loans on policies	AS12h	Item C0010/R0240 of template S.02.01.01
Reinsurance recoverables	AS12i	Item C0010/R0270 of template S.02.01.01
Deposits to cedants	AS12j	Item C0010/R0350 of template S.02.01.01
Insurance & intermediaries receivables	AS12k	Item C0010/R0360 of template S.02.01.01
Reinsurance receivables	AS12l	Item C0010/R0370 of template S.02.01.01
Receivables (trade, not insurance)	AS12m	Item C0010/R0380 of template S.02.01.01
Own shares	AS12n	Item C0010/R0390 of template S.02.01.01
Amounts due in respect of own fund items or initial fund called up but not yet paid in	AS12o	Item C0010/R0400 of template S.02.01.01
Cash and cash equivalents	AS12p	Item C0010/R0410 of template S.02.01.01
Any other assets, not elsewhere shown	AS12q	Item C0010/R0420 of template S.02.01.01
The total amount of liabilities of the insurance and reinsurance	AS13	Item C0010/R0900 of template S.02.01.01

ITEM	CELL NUMBER	DEFINITION
undertakings valued in accordance with Articles 75 to 86 of Directive 2009/138/EC		
Technical provisions	AS13a	The sum of items C0010/R0520, C0010/R0560, C0010/R0610, C0010/R0650 and C0010/R0690 of template S.02.01.01
Other liabilities, excluding subordinated liabilities which are not included in the own funds	AS13b	The sum of items C0010/R0740 to C0010/R0840, C0010/R0870 and C0010/R0880 of template S.02.01.1
Subordinated liabilities which are not included in the own funds	AS13c	Item C0010/R0860 of template S.02.01.01
The total amount of basic own funds	AS14a	Item C0010/R0290 of template S.23.01.01
Of which, subordinated liabilities	AS14aa	Item C0010/R0140 of template S.23.01.01
The total amount of ancillary own funds	AS14b	Item C0010/R0400 of template S.23.01.01
The total eligible amount of own funds to cover the Solvency Capital Requirement	AS15	Item C0010/R0540 of template S.23.01.01

ITEM	CELL NUMBER	DEFINITION
Tier 1 unrestricted	AS15a	Item C0020/R0540 of template S.23.01.01
Tier 1 restricted	AS15b	Item C0030/R0540 of template S.23.01.01
Tier 2	AS15c	Item C0040/R0540 of template S.23.01.01
Tier 3	AS15d	Item C0050/R0540 of template S.23.01.01
The total eligible amount of basic own funds to cover the Minimum Capital Requirement	AS16	Item C0010/R0550 of template S.23.01.01
Tier 1 unrestricted	AS16a	Item C0020/R0550 of template S.23.01.01
Tier 1 restricted	AS16b	Item C0030/R0550 of template S.23.01.01
Tier 2	AS16c	Item C0040/R0550 of template S.23.01.01
The total amount of the Minimum Capital Requirement	AS17	Item C0070/R0400 of template S.28.01.01 or item C0130/R0400 of S.28.02.01
The total amount of the Solvency Capital Requirement	AS18	Item C0100/R0200 of template S.25.01.01 or item C0100/R0220 of S.25.05.01

ITEM	CELL NUMBER	DEFINITION
<p>Total amount of the Solvency Capital Requirement calculated using the standard formula by risk module and sub-module — at the level of aggregation available — expressed as percentage of the total amount of the Solvency Capital Requirement</p>	<p>AS19</p>	<p>This cell should include the amount of the Solvency Capital Requirement calculated using the standard formula. This is item C0100/R0220 of template S.25.01.01 divided by cell AS18 (expressed as a percentage).</p> <p>Cells AS19a – AS19f should include the amounts of the Solvency Capital Requirement by risk module and sub-module at the level of aggregation available.</p> <p>For undertakings with ring-fenced funds, data on the Solvency Capital Requirement is only available at entity level and not by risk module and sub-module due to the nature of the calculation. Thus, where ring-fenced funds exist within the Member State, the data by risk module and sub-module in Cells AS19a- AS19f should only include those undertakings without ring-fenced funds.</p>

ITEM	CELL NUMBER	DEFINITION
Market risk	AS19a	Item C0030/R0010 of template S.25.01.01 divided by cell AS18 (expressed as percentage)
Interest rate risk	AS19aa	Item C0060/R0100 of template S.26.01.01 divided by cell AS18 (expressed as percentage)
Equity risk	AS19ab	Item C0060/R0200 of template S.26.01.01 divided by cell AS18 (expressed as percentage)
Property risk	AS19ac	Item C0060/R0300 of template S.26.01.01 divided by cell AS18 (expressed as percentage)
Spread risk	AS19ad	Item C0060/R0400 of template S.26.01.01 divided by cell AS18 (expressed as percentage)
Market concentrations risk	AS19ae	Item C0060/R0500 of template S.26.01.01 divided by cell AS18 (expressed as percentage)
Currency risk	AS19af	Item C0060/R0600 of template S.26.01.01 divided by cell AS18 (expressed as percentage)

ITEM	CELL NUMBER	DEFINITION
Counterparty default risk	AS19b	Item C0030/R0020 of template S.25.01.01 divided by cell AS18 (expressed as percentage)
Life underwriting risk	AS19c	Item C0030/R0030 of template S.25.01.01 divided by cell AS18 (expressed as percentage)
Mortality risk	AS19ca	Item C0060/R0100 of template S.26.03.01 divided by cell AS18 (expressed as percentage)
Longevity risk	AS19cb	Item C0060/R0200 of template S.26.03.01 divided by cell AS18 (expressed as percentage)
Disability-morbidity risk	AS19cc	Item C0060/R0300 of template S.26.03.01 divided by cell AS18 (expressed as percentage)
Lapse risk	AS19cd	Item C0060/R0400 of template S.26.03.01 divided by cell AS18 (expressed as percentage)
Life expense risk	AS19ce	Item C0060/R0500 of template S.26.03.01 divided by cell AS18 (expressed as percentage)

ITEM	CELL NUMBER	DEFINITION
Revision risk	AS19cf	Item C0060/R0600 of template S.26.03.01 divided by cell AS18 (expressed as percentage)
Life catastrophe risk	AS19cg	Item C0060/R0700 of template S.26.03.01 divided by cell AS18 (expressed as percentage)
Health underwriting risk	AS19d	Item C0030/R0040 of template S.25.01.01 divided by cell AS18 (expressed as percentage)
SLT health underwriting risk	AS19da	Item C0060/R0800 of template S.26.04.01 divided by cell AS18 (expressed as percentage)
NSLT health underwriting risk	AS19db	Item C0240/R1400 of template S.26.04.01 divided by cell AS18 (expressed as percentage)
Health catastrophe risk	AS19dc	Item C0250/R1540 of template S.26.04.01 divided by cell AS18 (expressed as percentage)
Non-life underwriting risk	AS19e	Item C0030/R0050 of template S.25.01.01 divided by cell AS18 (expressed as percentage)

ITEM	CELL NUMBER	DEFINITION
Non-life premium and reserve risk	AS19ea	Item C0100/R0300 of template S.26.05.01 divided by cell AS18 (expressed as percentage)
Non-life lapse risk	AS19eb	Item C0150/R0400 of template S.26.05.01 divided by cell AS18 (expressed as percentage)
Non-life catastrophe risk	AS19ec	Item C0160/R0500 of template S.26.05.01 divided by cell AS18 (expressed as percentage)
Intangible asset risk	AS19f	Item C0030/R0070 of template S.25.01.01 divided by cell AS18 (expressed as percentage)
Operational risk	AS19g	Item C0100/R0130 of template S.25.01.01 divided by cell AS18 (expressed as percentage)
Total amount of the Solvency Capital Requirement for spread risk and market concentration sub-modules and counterparty default risk module for which a reassessment of the credit quality steps of the larger or more complex exposures has been conducted in	AS20	For those insurance and reinsurance undertakings using the standard formula, the total amount of the three risk modules and sub-modules for those insurance and reinsurance undertakings that did at least one reassessment, divided by the total amount for the three risk modules and

ITEM	CELL NUMBER	DEFINITION
<p>accordance with Article 4(5) of Delegated Regulation (EU) 2015/35 — at the level of aggregation available — expressed as percentage of the total amount of the respective sub-modules or module (where the Solvency Capital Requirement for credit risk is calculated using the standard formula)</p>		<p>sub-modules for all insurance and reinsurance undertakings.</p> <p>For undertakings with ring-fenced funds, data on the Solvency Capital Requirement is only available at entity level and not by risk module and sub-module, due to the nature of the calculation. Thus, where ring-fenced funds exist within the Member State the data by risk module and sub-module in cells AS20 and AS20a-c should only include those undertaking without ring-fenced funds.</p> <p>Since data on the reassessment of the credit quality steps is not reported by insurance and reinsurance undertaking in the quantitative templates, supervisory authorities should clarify in Template A of this regulation the scope of the information provided in cells AS20 and AS20a-c including the level of aggregation available.</p>

ITEM	CELL NUMBER	DEFINITION
Spread risk	AS20a	For those insurance and reinsurance undertakings using the standard formula, the total amount of the spread risk of those insurance and reinsurance undertakings that did at least one reassessment, divided by the total amount of the spread risk sub-module for all insurance and reinsurance undertakings.
Market risk concentration	AS20b	For those insurance and reinsurance undertakings using the standard formula, the total amount of the market risk concentration for those insurance and reinsurance undertakings that did at least one reassessment, divided by the total amount of the market concentration risk sub-module for all insurance and reinsurance undertakings.
Counterparty default risk	AS20c	For those insurance and reinsurance undertakings using the standard formula, the total amount of the counterparty default risk for those insurance and reinsurance

ITEM	CELL NUMBER	DEFINITION
		undertakings that did at least one reassessment, divided by the total amount of the market concentration risk sub-module for all insurance and reinsurance undertakings.
Total amount of the Solvency Capital Requirement calculated using an approved partial internal model by risk module and sub-module — at the level of aggregation available — expressed as percentage of the total amount of the Solvency Capital Requirement	AS21	Item C0100/R0220 of template S.25.05.01 divided by cell AS18 (expressed as percentage)
Of which, the total amount of the Solvency Capital Requirement calculated using an approved partial internal model which scope includes credit risk in both market and counterparty default risk, by risk module and sub-module — at the level of the aggregation available — expressed as percentage of the total amount of the Solvency	AS21a	Item C0100/R0220 of template S.25.05.01 for insurance and reinsurance undertakings, which are using an approved partial internal model which scope includes credit risk in both market risk and counterparty default risk, divided by cell AS21 (expressed as percentage).

ITEM	CELL NUMBER	DEFINITION
Capital Requirement calculated using partial internal model		
The number of insurance and reinsurance undertakings using an approved full internal model for the calculation of the Solvency Capital Requirement	AS22a	
The number of insurance and reinsurance undertakings using an approved partial internal model for the calculation of the Solvency Capital Requirement	AS22b	
The number of insurance and reinsurance undertakings using an approved internal model which scope includes credit risk in both market risk and counterparty default risk	AS22c	
The number of capital add-ons	AS23a	
The average capital add-on per undertaking	AS23b	The total of item C0100/R0210 in templates S.25.01.01 and S.25.05.01 for all insurance and reinsurance

ITEM	CELL NUMBER	DEFINITION
		undertakings that report the item, divided by cell AS23a.
The distribution of capital add-ons measured as a percentage of the Solvency Capital Requirement with regard to all insurance and reinsurance undertakings supervised under Directive 2009/138/EC	AS23c	The total of item C0100/R0210 in templates S.25.01.01 and S.25.05.01 for all insurance and reinsurance undertakings that report the item divided by cell AS18.

II. ITEM DEFINITIONS FOR THE DISCLOSURE OF AGGREGATE STATISTICAL DATA WITH REGARD TO GROUPS SUPERVISED UNDER DIRECTIVE 2009/138/EC

ITEM	CELL NUMBER	DEFINITION
The number of insurance groups of which the supervisory authority is the group supervisor including:	AG24	The number of insurance groups of which the supervisory authority is the group supervisor, including insurance groups at national level and groups subject to ‘other methods’ in accordance with Article 262 of Directive 2009/138/EC
The number of insurance and reinsurance subsidiary undertakings at national level	AG24a	The number of rows reported in template S.32.01.04, where “Country” is the country of the supervisory authority.
The number of insurance and reinsurance subsidiary undertakings in other Member States	AG24b	The number of rows reported in template S.32.01.04 where “Country” is the Member States other than country of the supervisory authority.
The number of insurance and reinsurance subsidiary undertakings in third countries:	AG24c	The number of rows reported in template S.32.01.04 where “Country” is a third country.

ITEM	CELL NUMBER	DEFINITION
Of which the number of insurance and reinsurance subsidiary undertakings in equivalent third countries	AG24ca	The number of rows reported in template S.32.01.04 where “Country” is an equivalent third country
Of which the number of insurance and reinsurance subsidiary undertakings in non-equivalent third countries	AG24cb	The number of rows reported in template S.32.01.04 where “Country” is a non-equivalent third country
The number of insurance groups of which the supervisory authority is the group supervisor, where the ultimate parent insurance or reinsurance undertaking or insurance holding company which has its head office in the Union is a subsidiary undertaking of a company which has its head office outside of the Union	AG25	

ITEM	CELL NUMBER	DEFINITION
The number of ultimate parent insurance or reinsurance undertakings or insurance holding companies or mixed financial holding companies subject to group supervision at national level by the supervisory authority in accordance with Article 216 of Directive 2009/138/EC, including:	AG26	Cells AG26a to AG26db should be filled in for each such undertaking and holding company separately.
Name of such undertaking or holding company	AG26a	
The number of its insurance and reinsurance subsidiary undertakings at national level	AG26b	
The number of its insurance and reinsurance subsidiary	AG26c	

ITEM	CELL NUMBER	DEFINITION
undertakings in other Member States		
The number of its insurance and reinsurance subsidiary undertakings in third countries	AG26d	
Of which, the number of its insurance and reinsurance subsidiary undertakings in equivalent third countries	AG26da	This includes third countries which are partially or provisionally equivalent.
Of which, the number of its insurance and reinsurance subsidiary undertakings in non-equivalent third countries	AG26db	

ITEM	CELL NUMBER	DEFINITION
The number of ultimate parent insurance or reinsurance undertakings or insurance holding companies subject to group supervision at national level by the supervisory authority in accordance with Article 216 of Directive 2009/138/EC, where another related ultimate parent undertaking at national level is present as referred to in Article 217 of Directive 2009/138/EC	AG27	
The number of cross-border insurance groups where the supervisory authority is the group supervisor	AG28	The number of insurance groups of which the supervisory authority is the group supervisor, excluding insurance groups at national level
The number of insurance groups that have been allowed to use method 2 or a combination of methods 1 and 2 in accordance with	AG29	Number of insurance groups that answered method 2 or the combination of methods in item C0010/R0160 of template S.01.02.04.

ITEM	CELL NUMBER	DEFINITION
Article 220(2) of Directive 2009/138/EC for the calculation of the solvency at the level of the group		
The total amount of the group eligible own funds for the insurance groups of which the supervisory authority is the group supervisor	AG30	This is the sum of cells AG30a, AG30b and AG30c.
The total amount of the group eligible own funds calculated in accordance with method 1 as referred to in Article 230(1) of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor	AG30a	Item C0010/R0660 of template S.23.01.04 for insurance groups which calculate eligible own funds in accordance with method 1 as referred to in Article 230(1) of Directive 2009/138/EC
The total amount of the group eligible own funds calculated in	AG30b	Item C0010/R0660 of template S.23.01.04 for insurance groups which calculate eligible own

ITEM	CELL NUMBER	DEFINITION
accordance with method 2 as referred to in Article 233 of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor		funds in accordance with method 2 as referred to in Article 233(2) of Directive 2009/138/EC
The total amount of the group eligible own funds calculated in accordance with the combination of method 1 and method 2 as referred to in Article 220 of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor	AG30c	Item C0010/R0660 of template S.23.01.04 for insurance groups which calculate eligible own funds in accordance with the combination of method 1 and method 2 as referred to in Article 220(2) of Directive 2009/138/EC
The total amount of the group Solvency Capital Requirement for the	AG31	This is the sum of cells AG31a, AG31b and AG31c

ITEM	CELL NUMBER	DEFINITION
insurance groups of which the supervisory authority is the group supervisor		
The total amount of the group Solvency Capital Requirement calculated in accordance with method 1 as referred to in Article 230(1) of Directive 2009/138/EC for the insurance groups of which the supervisory authority is the group supervisor for the group Solvency Capital Requirement	AG31a	Item C0010/R0680 of template S.23.01.04 for insurance groups which calculate the Solvency Capital Requirement in accordance with method 1 as referred to in Article 230(1) of Directive 2009/138/EC
The total amount of the group Solvency Capital Requirement calculated in accordance with method 2 as referred to in Article 233 of Directive 2009/138/EC for the insurance groups	AG31b	Item C0010/R0680 of template S.23.01.04 for insurance groups which calculate the Solvency Capital Requirement in accordance with method 2 as referred to in Article 233 of Directive 2009/138/EC

ITEM	CELL NUMBER	DEFINITION
of which the supervisory authority is the group supervisor for the group Solvency Capital Requirement		
The total amount of the group Solvency Capital Requirement calculated in accordance with a combination of methods 1 and 2 for the insurance groups of which the supervisory authority is the group supervisor for the group Solvency Capital Requirement	AG31c	Item C0010/R0680 of template S.23.01.04 for insurance groups which calculate the Solvency Capital Requirement in accordance with a combination of method 1 and 2
The number of insurance groups of which the supervisory authority is the group supervisor using an approved full internal model for the calculation of the group Solvency Capital Requirements	AG32a	

ITEM	CELL NUMBER	DEFINITION
Of which, approvals in accordance with Article 230 of Directive 2009/138/EC	AG32aa	The number of insurance groups of which the supervisory authority is the group supervisor using an approved full internal model for the calculation only of the group Solvency Capital Requirements
Of which, approvals in accordance with Article 231 of Directive 2009/138/EC	AG32ab	The number of insurance groups of which the supervisory authority is the group supervisor using a full internal model approved by supervisory authority for the calculation of the consolidated group Solvency Capital Requirement, as well as the Solvency Capital Requirement of insurance and reinsurance undertakings in the group
The number of insurance groups of which the supervisory authority is the group supervisor using an approved partial internal model for the calculation of the group Solvency Capital Requirement	AG32b	
Of which, approvals in accordance with Article	AG32ba	The number of insurance groups of which the supervisory authority is the group supervisor using an approved partial internal model for the

ITEM	CELL NUMBER	DEFINITION
230 of Directive 2009/138/EC		calculation only of the group Solvency Capital Requirements
Of which, approvals in accordance with Article 231 of Directive 2009/138/EC	AG32bb	The number of insurance groups of which the supervisory authority is the group supervisor using a partial internal model approved by supervisory authority for the calculation of the consolidated group Solvency Capital Requirement, as well as the Solvency Capital Requirement of insurance and reinsurance undertakings in the group

III. ITEM DEFINITIONS FOR THE DISCLOSURE OF AGGREGATE STATISTICAL DATA ON SUPERVISORY AUTHORITIES

ITEM	CELL NUMBER	DEFINITION
The structure of the supervisory authority	B1a	An organisational schema or chart displaying at least the main divisions, departments or units within the supervisory authority.
The number of staff at the end of the calendar year	B1b	The number of staff as full time equivalent working directly in the field of prudential insurance supervision as well as ancillary staff supporting those working directly in prudential insurance supervision (e.g. Information Technology) in the supervisory authority at the end of the calendar year. The number of staff is calculated on a best effort basis.
The total number of on-site inspections undertaken both at solo and group level	B2a	On-site inspection refers to an organised assessment or formal evaluation exercise, within the field of prudential insurance regulation, performed at the location of the supervised undertaking, or the service providers to whom the supervised undertaking has outsourced functions,

ITEM	CELL NUMBER	DEFINITION
		<p>which leads to the issuing of a document communicated to the undertaking. The on-site inspection shall be reported for the year when the document is communicated to the undertaking.</p> <p>As examples, the following procedures are not regarded as on-site inspections, even though they may form part of the detailed review of an undertaking by the supervisory authority:</p> <ul style="list-style-type: none"> a) Supervisory visits or meetings at the supervisory authority's premises or at the undertaking's premises, not resulting in a document communicated to the undertaking; b) Exploratory meetings or presentations from insurance and reinsurance undertakings to supervisory authority; c) Supervisory visits to understand more about certain specific issues, which can be

ITEM	CELL NUMBER	DEFINITION
		considered fact-finding exercises.
Of which, the number of regular inspections	B2aa	A regular inspection is a scheduled on-site inspection arising from the supervisory plan.
Of which, the number of ad-hoc inspections	B2ab	An ad-hoc inspection is an on-site inspection that does not necessarily result from risk assessment framework process or has not been initially defined in the supervisory plan. However typically the need for ad-hoc inspections arises when the supervisory plan has to be adjusted to reflect the supervisory authorities' constraints or other new priorities. It could be triggered, for instance, when the supervisory authority becomes aware of a situation that calls for further investigations to be conducted on-site.
Of which, the number of on-site inspections mandated to third parties	B2ac	

ITEM	CELL NUMBER	DEFINITION
Of which, the number of on-site inspections under group supervision which were undertaken jointly with other members of the group's College of supervisors	B2ad	
Of which, the total number of inspections conducted in order to review and evaluate the reliance of undertakings on external ratings	B2ae	
The total number of man-days spent on on-site inspections both at solo and group level	B2b	
The number of formal reviews of ongoing compliance of full or partial internal models with the requirements both at solo and group level	B3	
Of which, the number of reviews conducted in order to review and evaluate the reliance	B3a	

ITEM	CELL NUMBER	DEFINITION
of undertakings on external ratings		
The number of partial and of full internal models submitted for approval at solo level	B4a	
Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk submitted for approval at solo level	B4aa	
The number of successful applications for approval of partial and of full internal models at solo level	B4b	
Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk at solo level	B4ba	

ITEM	CELL NUMBER	DEFINITION
The number of partial and of full internal models submitted for approval at group level	B4c	
Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk submitted for approval at group level	B4ca	
The number of successful applications for approval of partial and of full internal models at group level	B4d	
Of which, the number of partial and of full internal models which scope includes credit risk in both market risk and counterparty default risk at group level	B4da	
The number of corrective measures taken, as defined by Articles 110 of Directive 2009/138/EC	B5a	The number of cases when the supervisory authority required the undertaking to replace a subset of the

ITEM	CELL NUMBER	DEFINITION
		parameters used in the standard formula calculation by parameters specific to that undertaking when calculating the life, non-life and health underwriting risk modules because of a significant deviation between undertaking's risk profile and assumptions underlying standard formula.
The number of corrective measures taken, as defined by Articles 117 of Directive 2009/138/EC	B5b	The number of cases when the supervisory authority required the undertaking to revert to calculate the Solvency Capital Requirement in accordance with the standard formula, because of non-compliance with internal model standards.
The number of corrective measures taken, as defined by Articles 119 of Directive 2009/138/EC	B5c	The number of cases when the supervisory authority required the undertaking to use an internal model to calculate the Solvency Capital Requirement, or the relevant risk modules, because of a significant deviation between undertaking's risk profile and assumptions underlying standard formula.

ITEM	CELL NUMBER	DEFINITION
Of which, the number of corrective measures which were triggered by a deviation of the risk profile of the insurance or reinsurance undertakings with respect to their credit risk	B5ca	The number of cases when the supervisory authority required the undertaking to use an internal model to calculate the Solvency Capital Requirement, or the relevant risk modules because the risk profile of insurance or reinsurance undertaking deviated significantly with respect to its credit risk.
The number of corrective measures taken, as defined by Articles 137 of Directive 2009/138/EC	B5d	The number of cases when the supervisory authority prohibited the free disposal of an undertaking's assets when the undertaking did not comply with rules relating to technical provisions.
The number of corrective measures taken, as defined by Articles 138 of Directive 2009/138/EC	B5e	The number of cases when the supervisory authority restricted or prohibited the free disposal of the assets of that undertaking when the undertaking did not comply with Solvency Capital Requirement
The number of corrective measures taken, as defined by Articles 139 of Directive 2009/138/EC	B5f	The number of cases when the supervisory authority restricted or prohibited the free disposal of the assets

ITEM	CELL NUMBER	DEFINITION
		of the insurance or reinsurance undertaking when the undertaking did not comply with Minimum Capital Requirement
The number of authorisations withdrawn	B6	Withdrawn means a complete withdrawal of authorisation for an undertaking to conduct business and would not include for instance withdrawal of an authorisation only for a particular class of business or reinsurance activity where that insurance or reinsurance undertaking continues to be authorised for other classes or activities.
The number of authorisations granted to insurance or reinsurance undertakings	B7	The number of new authorisations in that calendar year. New authorisations mean authorisations for new insurance or reinsurance undertaking and would not include for instance extensions of authorisations (i.e. to other classes of business) for insurance and reinsurance undertakings that are already authorised.

ITEM	CELL NUMBER	DEFINITION
The criteria used for the application of capital add-ons	B8a	
The criteria used for the calculation of capital add-ons	B8b	
The criteria used for the removal of capital add-ons	B8c	
The number of applications submitted to the supervisory authorities to use the matching adjustment referred to in Article 77b Directive 2009/138/EC.	B9	
Of which, the number of successful applications to use the matching adjustment referred to in Article 77b Directive 2009/138/EC	B9a	
The number of applications submitted to the supervisory authorities to use the volatility adjustment referred to in Article 77d of Directive 2009/138/EC	B10	
Of which, the number of successful applications to use	B10a	.

ITEM	CELL NUMBER	DEFINITION
the volatility adjustment referred to in Article 77d of Directive 2009/138/EC		
The number of extensions granted in accordance with Article 138(4) of Directive 2009/138/EC	B11a	The number of extensions granted of the period to ensure compliance with the Solvency Capital Requirement in the event of an exceptional adverse situation.
The average duration of extensions granted in accordance with Article 138(4) of Directive 2009/138/EC	B11b	The sum of all the durations of the extensions granted in accordance with Article 138(4) of Directive 2009/138/EC divided by cell B11a.
The number of authorisations granted in accordance with Article 304 of Directive 2009/138/EC	B12	The number of authorisations granted to use the duration based equity risk sub-module for the Solvency Capital Requirement calculation.
The number of applications submitted to the supervisory authority to use the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC	B13	

ITEM	CELL NUMBER	DEFINITION
Of which, the number of successful applications to use the transitional risk-free interest rate term structure referred to in Article 308c Directive 2009/138/EC	B13a	
The number of decisions to revoke the approval of this transitional measure pursuant to article 308e of Directive 2009/138/EC.	B13b	
The number of applications submitted to the supervisory authority to use the transitional deduction to technical provisions referred to in Article 308d Directive 2009/138/EC	B14	
Of which, the number of successful applications to use the transitional deduction to technical provisions referred to in Article 308d Directive 2009/138/EC	B14a	

ITEM	CELL NUMBER	DEFINITION
The number of meetings of Colleges of supervisors which the supervisory authority attended as a member	B15a	The number of meetings organised in accordance with articles 248(1)(e) and 249(2) of Directive 2009/138/EC which the supervisory authority attended as a member, but not as the group supervisor. This includes physical meetings and meetings held through other means, such as teleconferences. It also includes meetings comprising a reduced number of supervisory authorities in accordance with the third subparagraph of Article 248(3) of Directive 2009/138/EC, such as meetings of specialised teams, but does not include bilateral discussions between two supervisory authorities belonging to the college of supervisors. This item also does not include meetings of crisis management groups, since their establishment is not based on Directive 2009/138/EC.
The number of meetings of Colleges of supervisors which the	B15b	The number of meetings organised in accordance with articles 248(1)(e) and 249(2) of Directive 2009/138/EC of

ITEM	CELL NUMBER	DEFINITION
supervisory authority chaired as group supervisor		Colleges of supervisors which the supervisory authority chaired as group supervisor. This includes physical meetings and meetings held through other means, such as teleconferences. It also includes meetings comprising a reduced number of supervisory authorities in accordance with the third sub-paragraph of Article 248(3) of Directive 2009/138/EC, such as meetings of specialised teams, but does not include bilateral discussions between two supervisory authorities belonging to the college of supervisors. This item also does not include meetings of crisis management groups, since their establishment is not based on Directive 2009/138/EC.
The number of applications submitted to the supervisory authorities for the approval of ancillary own funds	B16a	

ITEM	CELL NUMBER	DEFINITION
Of which, the number of successful applications for approval of ancillary own funds	B16aa	
The main features of the approved items of ancillary own funds	B16b	
The number of applications submitted to the supervisory authorities for approval of the assessment and classification of own-fund items, which are not covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35	B17a	
Of which, the number of successful applications for the approval of the assessment and classification of own-fund items, which are not covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of Delegated Regulation (EU) 2015/35	B17aa	
The main features of the approved own-fund items, which are not	B17b	

ITEM	CELL NUMBER	DEFINITION
covered by the lists laid down in Articles 69, 72, 74, 76 and 78 of the Delegated Regulation (EU) 2015/35		
The method used to assess and classify the approved own-fund items, which are not covered by the relevant lists laid down in Articles 69, 72, 74, 76 and 78 of the Delegated Regulation (EU) 2015/35	B17c	
The number of peer review analyses organised and conducted by EIOPA in accordance with Article 30 of Regulation (EU) No 1094/2010, in which the supervisory authority participated	B18a	The peer review shall be reported for the year when the peer review report is published by EIOPA.
The scope of peer review analyses organised and conducted by EIOPA in accordance with Article 30 of Regulation (EU) No 1094/2010, in which the supervisory authority participated	B18b	
The number of applications submitted to the supervisory	B19	

ITEM	CELL NUMBER	DEFINITION
authorities to waive the application of the principal loss-absorbency mechanism referred to in point (e) of paragraph 1 of Article 71 of the Delegated Regulation (EU) 2015/35		
Of which, the number of successful applications to waive the application of the principal loss-absorbency mechanism referred to in point (e) of paragraph 1 of Article 71 of the Delegated Regulation (EU) 2015/35	B19a	
The number of undertakings classified as small and non-complex	B20	
Of which, the number of undertakings requested to refrain from using one or more proportionality measures in accordance with Article 29c(2) of Directive 2009/138/EC	B20a	
The number of undertakings which are allowed to apply one or several	B21	

ITEM	CELL NUMBER	DEFINITION
proportionality measures in accordance with Article 29d of Directive 2009/138/EC and Article 327g of Delegated Regulation (EU) 2015/35		
Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 35(5a) of Directive 2009/138/EC	B21a	
Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 41 of Directive 2009/138/EC	B21b	
Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 45(1b) of Directive 2009/138/EC	B21c	
Of which, the number of undertakings allowed to apply the proportionality measure	B21d	

ITEM	CELL NUMBER	DEFINITION
provided in Article 45(5) of Directive 2009/138/EC		
Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 77(8) of Directive 2009/138/EC	B21e	
Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 144a(4) of Directive 2009/138/EC	B21f	
Of which, the number of undertakings allowed to apply the proportionality measure provided in Article 327g of Delegated Regulation (EU) 2015/35	B21g	
The number of groups classified as small and non-complex	B22	
Of which, the number of groups requested to refrain from using one or more proportionality	B22a	

ITEM	CELL NUMBER	DEFINITION
measures in accordance with Article 29c(2) of Directive 2009/138/EC		
The number of groups which are allowed to apply one or several proportionality measures in accordance with Article 29d of Directive 2009/138/EC and Article 327g of Delegated Regulation (EU) 2015/35	B23	
Of which, the number of groups allowed to apply the proportionality measure provided in Article 35(5a) of Directive 2009/138/EC	B23a	
Of which, the number of groups allowed to apply the proportionality measure provided in Article 41 of Directive 2009/138/EC	B23b	
Of which, the number of groups allowed to apply the proportionality measure	B23c	

ITEM	CELL NUMBER	DEFINITION
provided in Article 45(1b) of Directive 2009/138/EC		
Of which, the number of groups allowed to apply the proportionality measure provided in Article 45(5) of Directive 2009/138/EC	B23d	
Of which, the number of groups allowed to apply the proportionality measure provided in Article 77(8) of Directive 2009/138/EC	B23e	
Of which, the number of groups allowed to apply the proportionality measure provided in Article 144a(4) of Directive 2009/138/EC	B23f	
Of which, the number of groups allowed to apply the proportionality measure provided in Article 327g of Delegated Regulation (EU) 2015/35	B23g	

ITEM	CELL NUMBER	DEFINITION
The number of groups for which group supervision does not apply, on the basis of a decision not to include one or several undertakings in the group supervision in accordance with Article 214 of Directive 2009/138/EC	B24a	
The number of groups for which group supervision only applies at the level of an intermediate parent undertaking, on the basis of a decision not to include one or several undertakings in the group supervision in accordance with Article 214 of Directive 2009/138/EC	B24b	

ANNEX III

TEMPLATE FOR THE DISCLOSURE OF INFORMATION REGARDING THE EXERCISE OF OPTIONS

The disclosure of information referred to in Article 4 shall be performed by completing the following template. All references are to Directive 2009/138/EC unless otherwise stated.

TEMPLATE FOR THE DISCLOSURE OF INFORMATION REGARDING THE EXERCISE OF OPTIONS UNDER POINT (D) OF ARTICLE 31(2) OF DIRECTIVE 2009/138/EC

Article of Directive 2009/138/EC	Title of Article	Description of the option	Use of option YES / NO	National legal instrument used L /R / A ⁹	Reference to Article in national law	Text or link to text of national law	Text or link to text of national law where available in another language
Article 13(27)	Definitions	Regarding the definition of large risks option to add to the category of risks classified under classes of non-life insurance 3, 8, 9, 10, 13 and 16 in Part A of Annex I, the risks insured by professional associations, joint ventures or temporary groupings					

⁹ Text of law (L), regulation (R), administrative rule (A).

Article 15(2), sub-paragraph 3	Scope of authorisation	Option to grant authorisation for two or more classes of direct insurance					
Article 15(3), sub-paragraph 1	Scope of authorisation	Option to grant authorisation for non-life insurance for groups of classes listed in Part B of Annex I					
Article 17(2)	Legal form of insurance or reinsurance undertaking	Option to set up undertakings of a form governed by public law provided that such bodies have insurance or reinsurance operations as their object, under conditions equivalent to those under which undertakings governed by private law operate					
Article 21(1), sub-paragraph 2	Policy conditions and scales of premiums	Option to require in life insurance systematic notification of the technical bases used for calculating scales of premiums and technical provisions for the purpose of verifying compliance with actuarial principles					
Article 21(3)	Policy conditions and scales of premiums	Option to subject undertakings seeking or having obtained authorisation for assistance activity to checks on their resources in staff and equipment					
Article 21(4)	Policy conditions and scales of premiums	Option to require approval of the memorandum and articles of association or any other documents necessary for normal supervision					
Article 51a(2)	SFCR Audit requirements	Option to extend the requirement laid down in paragraph 1 of Article 51a to undertakings classified as small and non-complex undertakings, captive insurance undertakings and captive reinsurance undertakings					

Article 51a(3)	SFCR Audit requirements	Option to extend the scope of the audit requirement referred to in paragraph 1 of Article 51a to other elements of the SFCR.					
Article 57(1)	Acquisitions	Where Member States apply a threshold of one third for the notification to supervisory authorities of acquisitions in accordance with Directive 2004/109/EC, option to continue to apply that threshold, instead of a threshold of 30%.					
Article 57(2)	Acquisitions	Where Member States apply a threshold of one third for the notification to supervisory authorities of disposals in accordance with Directive 2004/109/EC, option to continue to apply that threshold, instead of a threshold of 30%.					
Article 73(2)	Pursuit of life and non-life insurance activity	Option to allow the following: (i) life insurance undertakings to obtain authorisation for non-life insurance activity for accident and sickness risks; (ii) non-life undertakings authorised solely for writing accident and sickness risks to obtain authorisation to pursue life insurance activity.					
First sentence of paragraph 3 of Article 73	Pursuit of life and non-life insurance activity	Option allowing to provide for compliance of the undertakings referred to in Article 73(2) with accounting rules governing life insurance undertakings for all of their activities					
Second sentence of paragraph 3 of Article 73	Pursuit of life and non-life insurance activity	Option allowing within a winding-up procedure to apply the rules for life insurance activities to accident and sickness activities pursued by the undertakings under Article 73(2)					

Article 73(5), subparagraph 2	Pursuit of life and non-life insurance activity	Option allowing to require putting an end to the simultaneous pursuit of life and non-life activities within a certain period					
Article 122(5)	Calibration standards	Option allowing insurance and reinsurance undertakings to take into account the effect of credit spread movements on the volatility adjustment calculated in accordance with Article 77d in their internal model under certain conditions.					
Article 148(2)	Notification by the home Member State	Option to require non-life insurance undertakings covering motor vehicle liability risks under the freedom to provide services to submit certain information					
Article 150(3)	Compulsory insurance on third party motor vehicle liability	Option for the host Member State to require insurance undertakings providing services to comply with rules concerning covering aggravated risks provided that they apply to non-life insurance undertakings					
Article 152(4)	Representative	Option allowing to approve a claims representative appointed according to Article 4 of Directive 2000/26/EC as a representative under Article 152(1)					
Article 163(3)	Scheme of operations of the branch	Option allowing to require from insurance undertakings to submit systematic notification of the technical basis used for calculating premiums and technical provisions in regard to life insurance					

Article 169(2)	Separation of non-life and life business	Option allowing composite branches to pursue life and non-life insurance activities provided that each of the activities is separately managed					
Article 169(3), subparagraph 2	Separation of non-life and life business	Option regarding branches which on the dates referred to in the first subparagraph of Article 73(5) pursued solely life insurance activity within the Member State, but which head office situated outside of the community simultaneously pursues life and non-life activities and subsequently wishes to pursue non-life insurance activity in that Member State.					
Article 179(4), subparagraph 2	Related obligations	Option allowing to require the issue of a declaration that an insurance contract complies with specific provisions relating to compulsory non-life insurance					
Article 181(1), subparagraph 2	Non-life insurance	Option to require non-systematic notification of policy conditions and other documents to verify compliance with national provisions concerning insurance contracts					
Article 181(2), subparagraph 1	Non-life insurance	Option allowing to require communication of the general and specific conditions of compulsory insurance to the supervisory authority before circulating them					
Article 182, subparagraph 2	Life insurance	Option allowing to require systematic communication of the technical bases used for calculating scales of premiums and technical provisions to verify compliance with actuarial principles					

Article 184(2), subparagraph 2	Additional information in the case of non-life insurance offered under the right of establishment or the freedom to provide services	Option to require for the name and address of the representative of a non-life insurance undertaking to appear in an insurance contract or other document granting cover					
Article 185(7)	Information for policy holders	Option allowing to require the provision of additional information to ensure that policyholders understand the essential elements of the life insurance commitment					
Article 186(2)	Cancellation period	Option allowing to not apply a cancellation period for policy holders in specific cases					
Article 189	Participation in national guarantee schemes	Option allowing to require compulsory participation of non-life insurance undertakings in host Member State guarantee schemes					
Article 197, subparagraph 1	Activities similar to tourist assistance	Option allowing to provide for assistance to persons in difficulties in circumstances other than those laid down in Article 2(2)					
Article 198(2)(c)	Scope of this section	Option allowing to not apply the requirements for legal expenses insurance to the activity of legal expenses insurance undertaken by an assistance insurer under specific circumstances					

Article 199	Separate contracts	Option allowing to request an explicit specification of the amount of the premium for legal expenses within the relevant contract					
Article 200(1), sub-paragraph 1	Management of claims	Option allowing to choose between three methods for the management of claims					
Article 200(3), sub-paragraph 2	Management of claims	Option allowing to extend the prohibition on simultaneous pursuit of the same or similar activity in a linked insurance undertaking to members of the administrative, management or supervisory body of the legal expenses insurance undertaking					
Article 202(1)	Exception to the free choice of lawyer	Option allowing the exemption from the free choice of lawyer rule in legal expenses insurance subject to certain conditions					
Article 206(1)	Health insurance as an alternative to social security	Option allowing to require: (a) compliance of health insurance contracts with specific legal provisions to protect the general good in the class of sickness insurance; and (b) communication to the supervisory authorities of the general and specific conditions of sickness insurance					
Article 206(2), sub-paragraph 1	Health insurance as an alternative to social security	Option allowing to require the operation of an alternative health insurance system on a technical basis similar to that of life insurance subject to specific conditions					
Article 207	Compulsory insurance against accidents at work	Option allowing to require compliance of undertakings offering compulsory insurance against accidents at work with specific provisions of national law of the host Member State					

Article 216(1), sub-paragraph 1	Ultimate parent undertaking at national level	Option allowing for supervisory discretion to apply group supervision to an ultimate parent undertaking at national level					
Article 225, sub-paragraph 2	Related insurance and reinsurance undertakings	Option allowing to require taking into account the Solvency Capital Requirements and the eligible own funds in respect of related undertakings with a head office in another Member State, as laid down in that Member State, for the purposes of the group solvency calculation					
Article 227(1), sub-paragraph 2	Related third-country insurance and reinsurance undertakings	Option allowing to require taking into account the Solvency Capital Requirements and the eligible own funds in respect of related undertakings with a head office in an equivalent solvency regime third country, as laid down in that third country					
Article 275(1)	Treatment of insurance claims	Option to choose between two methods or a combination thereof to ensure that insurance claims take precedence over other claims against an insurance undertaking					
Article 275(2)	Treatment of insurance claims	Option to provide for the precedence of expenses arising from winding-up procedures over insurance claims wholly or in part					
Article 276(2), sub-paragraph 2	Special register	Option to require a single register to be kept by insurance undertakings covering life and accident and sickness risks					

Article 277	Subrogation to a guarantee scheme	Option to provide for the non-application of Article 275(1) to claims by insurance creditors where they have been subrogated to a national guarantee scheme					
Article 279(2), sub-paragraph 2	Withdrawal of the authorisation	Option to provide that the pursuit of some activities during winding-up proceedings are subject to the consent and the supervision of the supervisory authority of the home Member State					
Article 304(1)	Duration-based equity risk sub-module	Option to authorise life insurance undertakings to apply a duration-based equity risk sub-module under certain conditions					
Article 305(1)	Derogations and abolition of restrictive measures	Option allowing for the granting of exemptions of non-life undertakings with certain maximum premium income which did not meet solvency requirements on 31 January 1975 from the requirement to establish a minimum guarantee fund					
Article 308b(15)	Transitional measures	Option allowing to continue to apply the laws, regulations and administrative provisions that have been adopted with a view to complying with Articles 1 to 19, 27 to 30, 32 to 35 and 37 to 67 of Directive 2002/83/EC until 31 December 2022					
Article 308b(16)	Transitional measures	Option allowing the ultimate parent insurance or reinsurance undertaking during a period until 31 March 2022, to apply for the approval of an internal group model applicable to a part of a group					

ANNEX 1: IMPACT ASSESSMENT

An initial impact assessment was conducted for the first version of the Implementing Technical Standards (ITS) regarding the disclosure of information on the objectives of supervision and its main functions and activities. This assessment, detailed in the Final Report on Public Consultation No. 14/051¹⁰, addressed issues related to prescribing a common format and specific templates for the disclosures of information on the objectives of supervision and its main functions and activities or not (EIOPA-BoS-15/114).

The conclusions of this initial assessment remain valid and continue to support the current policy options retained in the ITS. For further details, please refer to the final report.

¹⁰ See [EIOPA-BoS-15-114 Final report ITS Transp Account.pdf](#)

ANNEX 2: LIST OF THE CHANGES TO THE ANNEXES OF THE ITS

In order to help the reading of the consultation paper, the proposed changes to the annexes of the ITS are listed below.

In Annex I, the following changes are proposed:

- Introduction of new rows B19 and B19a on loss-absorbency mechanism following the revision of Commission Delegated Regulation (EU) 2015/35 in 2019
- Introduction of the new rows B20 to B24 to reflect amendments to the ongoing revision of Commission Delegated Regulation (EU) 2015/35.

In Annex II the following changes are proposed:

- The text is amended in the paragraph ‘Number of previous years of disclosure’ and ‘Disclosure deadlines and financial year end’;
- Update to the reference of the quantitative template in the column ‘Definition’ for the cells number AS3, AS17, AS18, AS19db, AS21, AS21a, AS23b, AS23c, AS29 and AG29;
- Amendment to the definition of the cell number AS19 to correct a typo and the deletion to matching portfolios;
- Amendment to the definition of the cell number AS20 with the deletion of the reference to matching portfolios;
- Amendment to the definition of the cell number AG24 with the explicit reference to group under other methods;
- Amendment to the definition of the cell number B2a, B18a with more detailed how to report when peer reviews and on-site inspections covered different calendar years;
- Deletion to the reference to the prior approval for use of volatility adjustment in the definition of the cell number B10 and B10a;
- Addition to new rows in the table to cover the new cell numbers B19 to B24.

In Annex III the following changes are proposed:

- Deletion of the rows referring to Article 51(2) subparagraph 3 and Article 77d(1) following the review of Directive 2009/138/EC.
- Addition of the rows referring to Article 51a(2), Article 51a(3) and Article 122(5) following the review of Directive 2009/138/EC.
- Amendment to the row referring to Article 308b(15) of Directive 2009/138/EC following the amendment to Article 308b(15).

PRIVACY STATEMENT RELATED TO PUBLIC ONLINE CONSULTATIONS AND SURVEYS

Introduction

1. The European Insurance and Occupational Pension authority (EIOPA) is committed to protecting individuals' personal data in accordance with Regulation (EU) 2018/1725¹¹ (further referred as "the Regulation").
2. In line with Article 15 and 16 of the Regulation, this privacy statement provides information to the data subjects relating to the processing of their personal data carried out by EIOPA.

Purpose of the processing of personal data

3. Personal data is collected and processed to manage online public consultations EIOPA launches, and to conduct online surveys, including via online platform EUSurvey¹², and to facilitate further communication with participating stakeholders (e.g., when clarifications are needed on the information supplied or for the purposes of follow-up discussions that the participating stakeholders may agree to in the context of the consultations or surveys).
4. The data will not be used for any purposes other than the performance of the activities specified above. Otherwise you will be informed accordingly.

Legal basis of the processing of personal data and/or contractual or other obligation imposing it

5. The legal basis for this processing operation is the following :
 - Regulation (EU) 1094/2010, and notably Articles 8, 10, 15, 16, 16a and 29 thereof
 - EIOPA's Public Statement on Public Consultations
 - EIOPA's Handbook on Public Consultations
6. In addition, in accordance with Article 5(1)(a) of the Regulation, processing is lawful as it is necessary for the performance of a task carried out in the public interest.

¹¹ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC, OJ L 295, 21.11.2018, p. 39–98.

¹² For more information on the processing of personal data in EUSurvey, please see the [dedicated privacy statement](#)

Controller of the personal data processing

7. The controller responsible for processing the data is EIOPA's Executive Director.

8. Address and email address of the controller:

Westhafen Tower, Westhafenplatz 1

60327 Frankfurt am Main

Germany

fausto.parente@eiopa.europa.eu

Contact detail of EIOPA's Data Protection Officer (DPO)

9. Westhafenplatz 1, 60327 Frankfurt am Main, Germany

dpo@eiopa.europa.eu

Types of personal data collected

10. The following personal data might be processed:

- Contact details (name, email address, phone number).
- Employment details (company and job title).

Recipients/processors of the personal data collected

11. Data will be collected and disclosed to the relevant staff members part of the Department/Unit in charge of the consultation/surveys and also to other EIOPA's staff on a need-to-know basis (e.g. IT staff, security officer).

Retention period

12. Personal data collected are kept by until the finalisation of the project the public consultation or the survey relate to.
13. The personal data collected in EUSurvey are deleted from EUSurvey as soon as the period to provide answers elapsed.

Transfer of personal data to a third country or international organisations

14. No personal data will be transferred to a third country or international organisation. The service provider is located in the European Union.

Automated decision-making

15. No automated decision-making including profiling is performed in the context of this processing operation.

What are the rights of the data subject?

16. Data subjects have the right to access their personal data, receive a copy of them in a structured and machine-readable format or have them directly transmitted to another controller, as well as request their rectification or update in case they are not accurate. Data subjects also have the right to request the erasure of their personal data, as well as object to or obtain the restriction of their processing.
17. Where processing is based solely on the consent, data subjects have the right to withdraw their consent to the processing of their personal data at any time.
18. Restrictions of certain rights of the data subject may apply, in accordance with Article 25 of Regulation (EU) 2018/1725.
19. For the protection of the data subjects' privacy and security, every reasonable step shall be taken to ensure that their identity is verified before granting access, or rectification, or deletion.
20. Should the data subjects wish to exercise any of the rights provided in paragraphs 16 and 17 above, please contact EIOPA's DPO (dpo@eiopa.europa.eu).

Who to contact if the data subjects have any questions or complaints regarding data protection?

21. Any questions or complaints concerning the processing of the personal data can be addressed to EIOPA's Data Controller (fausto.parente@eiopa.europa.eu) or EIOPA's DPO (dpo@eiopa.europa.eu).
22. Alternatively, the data subjects can have recourse to the **European Data Protection Supervisor** (www.edps.europa.eu) at any time, as provided in Article 63 of the Regulation.